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PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

| | |
|--|--------------------|
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | |
| TOTAL AMOUNT OF PAYMENT | (\$) 180.00 |

| Complete if Known | |
|----------------------|------------------|
| Application Number | 09/435,992 |
| Filing Date | November 8, 1999 |
| First Named Inventor | NABIL HANNA |
| Examiner Name | Phillip Gambel |
| Art Unit | 1644 |
| Attorney Docket No. | 037003-0275478 |

METHOD OF PAYMENT (check all that apply)

| | | | | |
|--------------------------------|--------------------------------------|--------------------------------------|--------------------------------|-------------------------------|
| <input type="checkbox"/> Check | <input type="checkbox"/> Credit card | <input type="checkbox"/> Money Order | <input type="checkbox"/> Other | <input type="checkbox"/> None |
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Deposit Account:

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| Deposit Account Number | 033975 |
| Deposit Account Name | PILLSBURY WINTHROP LLP |

The Director is authorized to: (check all that apply)

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| <input checked="" type="checkbox"/> Charge fee(s) indicated below | <input type="checkbox"/> Credit any overpayments |
| <input type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s) | |
| <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. | |

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee (\$) | Fee Code (\$) | Fee (\$) | Fee Description | Fee Paid |
|---------------|----------|---------------|----------|--|----------|
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | 180.00 |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180.00

***or number previously paid, if greater; For Reissues, see above*

SUBMITTED BY

| | | | | | |
|-------------------|------------------------------|-----------------------------------|-------|-----------|----------------|
| Name (Print/Type) | Thomas A. Cawley, Jr. | Registration No. (Attorney/Agent) | 40944 | Telephone | (703) 905-2144 |
| Signature | <i>Thomas A. Cawley, Jr.</i> | | | | |
| Date | May 3, 2004 | | | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Docket Number: 037003-0275478

PATENT APPLICATION

Client Reference: 1999-30-0466A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

HANNA et al.

Group Art Unit: 1644

Application No.: 09/435,992

Examiner: Phillip Gambel

Filed: November 8, 1999

Confirmation No.: 5990

For: TREATMENT OF B CELL MALIGNANCIES USING ANTI-CD40L ANTIBODIES IN COMBINATION WITH ANTI-CD20 ANTIBODIES AND/OR CHEMOTHERAPEUTICS AND RADIOTHERAPY

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. One copy of each reference is submitted herewith. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first official action on the merits, but before the mailing date of a final rejection or notice of allowance. Payment of the requisite fee under 37 CFR 1.17(p) is enclosed.

05/04/2004 YPOLITE1 00000033 033975 09435992

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Respectfully submitted,

A handwritten signature in black ink, appearing to read "Thomas A. Cawley, Jr." followed by "Ph.D." in a smaller script.

Thomas A. Cawley, Jr., Ph.D.
Registration Number 40,944

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TAC/JBM

□□



FORM PTO-1449 (modified)

Atty. Dkt. No.
037003-0275478Client Ref.
1999-30-0466A

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant: HANNA et al.

Appln. No.: 09/435,992

Filing Date: November 8, 1999

Date: May 3, 2004

Page 1 of 1

Examiner: Phillip Gambel

Group Art Unit: 1644

U.S. PATENT DOCUMENTS

| Examiner's Initials* | Document Number | Date MM/YYYY | Name (Family Name of First Inventor) | | Class | Sub Class | Filing Date (if appropriate) |
|----------------------|-----------------|--------------|---|--|-------|-----------|---------------------------------|
| AR | 5,747,037 | 05/1998 | Noelle | | | | |
| BR | 5,756,096 | 05/1998 | Newman | | | | |
| CR | 5,843,398 | 12/1998 | Kaminski | | | | |
| DR | 5,876,950 | 03/1999 | Siadek | | | | |
| ER | 6,051,405 | 04/2000 | Fitzgerald | | | | |
| FR | 6,136,310 | 10/2000 | Hanna | | | | |
| GR | | | | | | | |

FOREIGN PATENT DOCUMENTS

| | Document Number | Date MM/YYYY | Country | Inventor Name | English Abstract | Translation Readily Available |
|----|-----------------|--------------|---------|---------------|------------------|-------------------------------|
| HR | WO 98/04281 | 02/1998 | PCT | Davis | | |
| IR | | | | | | |
| JR | | | | | | |
| KR | | | | | | |

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

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| LR | |
| MR | |
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| Examiner | Date Considered: |
| *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. | |